

## ON RANK 5 PROJECTIVE PLANES

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**ABSTRACT.** In this paper we continue the study of projective planes which admit collineation groups of low rank (Kallaher [1] and Bachmann [2,3]). A rank 5 collineation group of a projective plane  $\mathbb{P}$  of order  $n \neq 3$  is proved to be flag-transitive. As in the rank 3 and rank 4 case this implies that  $\mathbb{P}$  is not desarguesian and that  $n$  is (a prime power) of the form  $m^4$  if  $m$  is odd and  $n = m^2$  with  $m \equiv 0 \pmod{4}$  if  $n$  is even. Our proof relies on the classification of all doubly transitive groups of finite degree (which follows from the classification of all finite simple groups).

**KEY WORDS AND PHRASES.** *Projective planes, rank 5 groups.*

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### 1. INTRODUCTION.

All known finite projective planes with a transitive collineation group  $G$  are desarguesian. It has been conjectured that all such planes are desarguesian. Under additional assumptions this has been proved: If  $G$  is 2-transitive, i.e. if  $G$  has rank 2, then the plane is desarguesian (Theorem of Ostrom and Wagner). If  $G$  has rank 3 then (Kallaher [1] and Bachmann [2]) the order of the plane is either 2 or an odd fourth power; moreover, if  $n > 2$ , the plane is non-desarguesian and  $G$  is non-solvable and flag-transitive. If  $G$  has rank 4 then (Bachmann [3]) the same conclusions hold for  $G$ ; the plane is always non-desarguesian and its order is either an odd fourth power or an even square divisible by 16.

Probably the only rank 3 plane is the plane of order 2 and there is no rank 4 plane.

In this paper we will investigate rank 5 planes. The main difficulty consists in showing that, with one exception,  $G$  is flag-transitive (see §3).

**THEOREM 1.** Let  $\mathbb{P}$  be a projective plane of finite order  $n$  with a rank 5 collineation group  $G$ . If  $n \neq 3$ , then  $G$  is flag-transitive.

The desarguesian plane  $\mathbb{P}(3) = (P, L)$  of order 3 has a rank 5 collineation group  $G$  which is not flag-transitive:

Let  $P = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12\}$ ,

$L = \{\{0, 1, 3, 9\}, \{0, 4, 7, 5\}, \{0, 8, 12, 2\}\} \cup \{\{0, 6, 10, 11\}\} \cup \{\{1, 2, 4, 10\}, \{4, 9, 8, 11\}, \{8, 5, 1, 6\}\} \cup \{\{1, 7, 11, 12\}, \{4, 12, 6, 3\}, \{8, 3, 10, 7\}\} \cup \{\{2, 3, 5, 11\}, \{9, 7, 2, 6\}, \{5, 12, 9, 10\}\}$ ,

$G = \langle \alpha, \beta \rangle$  where  $\alpha = (0\ 1\ 2\ \dots\ 12)$ ,  $\beta = (1\ 4\ 8)(2\ 9\ 5)(3\ 7\ 12)(6\ 10\ 11)$ .

Then  $|G| = 39$ ,  $\langle \alpha \rangle \triangleleft G$ ,  $G_0 = \langle \beta \rangle$ ;  $G$  is solvable and not flag-transitive and acts as a Frobenius group on  $P$ .

Obviously,  $\mathbb{P}(3)$  admits no rank 5 collineation group which is flag-transitive.

As in the rank 3 and rank 4 case one deduces from Theorem 1 the following theorem (see §4).

**THEOREM 2.** Let  $\mathbb{P}$  be a projective plane of finite order  $n \neq 3$  with a rank 5 collineation group  $G$ . Then

- a)  $G$  is non-solvable,
- b)  $\mathbb{P}$  is not desarguesian,
- c)  $n$  is a power of a prime,  $n = m^4$  if  $n$  is odd and  $n = m^2$  with  $m \equiv 0 \pmod{4}$  if  $n$  is even.

Our proof of Theorem 1 strongly relies on the fact (following from the classification of all finite simple groups) that the doubly transitive groups of finite degree are of known type (Cameron [4], p. 8 and 9). We also make use of the classification of all subgroups of  $GL(n, p)$  which are transitive on  $W(n, p) \setminus \{0\}$  (Hering [5]; Huppert and Blackburn [6], p. 386).

## 2. DEFINITIONS AND PRELIMINARY RESULTS.

We shall in general use standard notation. A point (resp. line) will be identified with the set of lines (points) on it. We shall frequently use the following results (Dembowski [7]):

A collineation group of a projective plane has equally many point orbits and line orbits. The point and line ranks of a transitive collineation group of a projective plane are equal. If a transitive collineation group  $G$  of a projective plane  $\mathbb{P}$  contains a nontrivial central collineation, then  $\mathbb{P}$  is desarguesian and  $G$  contains all elations of  $\mathbb{P}$  and is 2-transitive on the points (and lines) of  $\mathbb{P}$ . A 2-transitive group has a unique minimal normal subgroup, which is elementary abelian or simple (Burnside [8], p. 202).

The following lemmas will be useful.

**LEMMA 1.** Let  $\mathbb{P} = (P, L)$  be a finite projective plane with a transitive collineation group  $G$  and let  $P_0 \in P$ ,  $l_0 \in L$ . Then the following holds:

$$a) \quad |1_{P_0}^{G_{P_0}}| = |1_{l_0}^{G_{l_0}}|$$

b) If  $G_{P_0}$  ( $G_{l_0}$ ) induces on  $P_0$  ( $l_0$ ) line (point) orbits of length  $a_1, \dots, a_r$  ( $b_1, \dots, b_s$ ), then  $r = s$  and  $a_1, \dots, a_r$  and  $b_1, \dots, b_s$  coincide up to order.

PROOF. a) By counting the set  $(P_o, l_o)^G$  in two ways we obtain

$$|P| |1_o^{G_{P_o}}| = |(P_o, l_o)^G| = |L| |P_o^{G_1}| \text{ whence } |1_o^{G_{P_o}}| = |P_o^{G_1}|.$$

b) Let  $P_o = 1_1^{G_{P_o}} \cup \dots \cup 1_r^{G_{P_o}}$  with  $|1_i^{G_{P_o}}| = a_i$  and  $l_o = P_1^{G_1} \cup \dots \cup P_s^{G_1}$  with

$|P_j^{G_1}| = b_j$ . Then  $a_i |P| = |(P_o, l_i)^G|$ ,  $b_j |L| = |(P_j, l_o)^G|$  and b) follows from the fact that, by the counting principle,  $\{(P_o, l_i)^G : i = 1, 2, \dots, r\} = \{(P_j, l_o)^G : j = 1, 2, \dots, s\}$ .

LEMMA 2. Let  $\mathbb{P} = (P, L)$  be a projective plane of finite order  $n$  with a rank 5 collineation group  $G$ . Then  $n \neq 2, 4$ .

PROOF. Let  $P_o \in P$ . Assume  $n = 2$ . Then, for any  $P \in \mathbb{P} \setminus \{P_o\}$ ,  $G_{P_o, P} = 1$ , for otherwise  $G$  would contain central collineations and then would be 2-transitive.  $|G| = |G_{P_o, P}| |P^{G_{P_o}}| |P| = 7 |P^{G_{P_o}}|$  implies that all point orbits of  $G_{P_o}$  have length 1, which is impossible.

Assume now  $n = 4$ .  $G$  is not flag-transitive for otherwise  $G$  would contain all elations (Higman and Mc Laughlin [9]) and thus would be 2-transitive. It follows that  $G_{P_o}$  induces on  $P_o$  line orbits of length 1 and 4 or 2 and 3.

Assume at first that  $P_o = 1_o^{G_{P_o}} \cup 1_1^{G_{P_o}}$  where  $|1_o^{G_{P_o}}| = 1$  and  $|1_1^{G_{P_o}}| = 4$ . By Lemma 1,  $G_{1_o}$  induces the orbits  $\{P_o\}, 1_o \setminus \{P_o\}$  on  $1_o$ . It follows that  $G_{P_o}$  induces 3 orbits on  $\mathbb{P} \setminus 1_o$ .

Hence  $G_{1_1}$  leaves invariant two points  $P_2$  and  $P_3$  on  $1_1 \setminus \{P_o\}$ . This implies that  $|G_{P_o, 1_1}| = 2$  whence  $|G_{P_o}| = 8$ . Thus  $G_{P_o}$  is either a dihedral or a quaternion group. In any case, the fact that  $G_{P_o}$  contains a (planar) involution in the center leads immediately to a contradiction.

Now assume that  $P_o = 1_o^{G_{P_o}} \cup 1_2^{G_{P_o}}$ , where  $1_o^{G_{P_o}} = \{1_o, 1_1\}$  and  $1_2^{G_{P_o}} = \{1_2, 1_3, 1_4\}$ . By Lemma 1,  $G_{1_i}$  induces orbits of length 2 and 3 on  $1_i$  ( $i = 0, 1, 2, 3, 4$ ) such that the point  $P_o$  lies in the orbits of length 2 (resp. 3) if  $i = 0, 1$  ( $i = 2, 3, 4$ ). Thus the lengths of the point orbits of  $G_{P_o}$  are 1, 2, 6, 6, 6. This is impossible, since  $G_{P_o}$  fixes the line joining the two points in the orbit of length 2.

### 3. PROOF OF THEOREM 1

Let  $\mathbb{P} = (P, L)$  be a projective plane of finite order  $n$  with a rank 5 collineation group  $G$  and let  $P_o \in P$ . Assume that  $G$  is not flag-transitive. By Lemma 2 and since  $\mathbb{P}(3)$  admits no flag-transitive rank 5 collineation group, we have  $n \geq 5$ . By the result at the beginning of the preceding section about transitive collineation groups with central collineations we may assume throughout that  $G$  contains no central collineation.

$G_{P_0}$  defines five point orbits  $P_i = P_i^{G_{P_0}}$  and five line orbits  $L_i = l_i^{G_{P_0}}$  ( $i = 0, 1, 2, 3, 4$ ).  $G_{P_0}$  induces on  $P_0$  two, three or four line orbits. Thus we are lead to the following cases:

Case I :  $P_0 = L_0 \cup L_1 \cup L_2 \cup L_3$

Case II :  $P_0 = L_0 \cup L_1 \cup L_2$

Case III:  $P_0 = L_0 \cup L_1$ .

Theorem 1 will be proved if we can show that none of these cases can occur.

Case I. Since  $G_{P_0}$  has four point orbits on  $P \setminus \{P_0\}$ ,  $G_{1_0, P_0}$  is transitive on  $l_0 \setminus \{P_0\}$ . It follows that  $G_{1_0}$  has the point orbits  $\{P_0\}$  and  $l_0 \setminus \{P_0\}$  on  $l_0$ . This contradicts Lemma 1.

REMARK. In case I the group  $G$  is transitive on non-incident point-line pairs. Thus the impossibility of case I also follows from Ostrom [10], where such collineation groups are shown to be 2-transitive.

Case II. As  $G_{P_0}$  has four point orbits on  $P \setminus \{P_0\}$ , we may assume that it is transitive on  $l_0 \setminus \{P_0\}$ . Therefore  $G_{1_0}$  induces the orbits  $l_0 \setminus \{P_0\}$  and  $\{P_0\}$  on  $l_0$ , which contradicts Lemma 1.

The main difficulty lies in the proof that case III is impossible.

Case III. It suffices to discuss the following two subcases:

Case III1:  $P_1, P_2, P_3 \in l_0$  ;  $P_4 \in l_1$

Case III2:  $P_1, P_2 \in l_0$  ;  $P_3, P_4 \in l_1$ .

In the following two subsections we will show that the cases III1 and III2 cannot occur.

3.1. CASE III1.

By Lemma 1,  $G_1$  induces two point orbits on  $l$ , for every line  $l$ .  $G_{1_1}$  induces the two point orbits  $\{P_0\}$  and  $l_1 \setminus \{P_0\}$  on  $l_1$  whence  $G_{1_1} = G_{P_0}$ . It follows that  $G_{1_0} = G_P$  for some point  $P \in l_0$ . Clearly  $P \neq P_0$ . We may assume that  $P = P_3$ . Then  $G_{1_0} = G_{P_3}$  and  $G_{1_0}$  acts transitively on  $l_0 \setminus \{P_3\}$ .

Put  $s_i = |P_i^{G_{P_0}}, l_0|$  ( $i = 1, 2$ ) and assume that  $s_1 \geq s_2$ . We have  $s_1 + s_2 + 1 = n$ .

For  $R \in P$  let  $l_R$  denote the (uniquely determined) line for which  $G_{1_R}$  fixes the point  $R \in l_R$ . Put  $\bar{L} = \{l_R : R \in l_1 \setminus \{P_0\}\}$ . Since  $G_{P_0}$  is transitive on  $P_i$  and fixes  $l_1$ , the symbol  $(\bar{L}, P_i)$ , i.e. the number of lines of  $\bar{L}$  through each point of  $P_i$ , is well-defined.

LEMMA 3.  $(\bar{L}, P_1) \leq 1$ .

PROOF. Suppose that  $(\bar{L}, P_1) \geq 2$ . It follows that  $\binom{n}{2} = \binom{|\bar{L}|}{2} \geq |P_1| = s_1 |l_0^{G_{P_0}}| = s_1 n$ , whence  $s_1 = s_2 = (n - 1)/2$  and  $\binom{n}{2} = s_1 n$ .

Thus every point of  $P_1$  is incident with exactly two lines of  $\bar{L}$  and any two lines of  $\bar{L}$  intersect in a point of  $P_1$ . This implies that the action of  $G_{P_0}$  on  $l_1 \setminus \{P_0\}$  is 2-homogeneous. Since this action is also faithful, it follows (Kantor [11]) that  $G_{P_0}$  has odd order. So  $G$  has odd order and is solvable.

Now we show that  $G$  is primitive on the points (see Higman and Mc Laughlin [9], p. 386). Assume that  $G$  is imprimitive and denote the number of imprimitive classes by  $v$ . If  $C$  is an imprimitive class and  $P \in C$ , then  $l_P \cap C = \{P\}$ , since  $G_P$  is transitive on  $l_P \setminus \{P\}$ . Each point of  $C \setminus \{P\}$  is on exactly one line of  $P \setminus \{l_P\}$  and as  $G_P$  is transitive on  $P \setminus \{l_P\}$ , each line of  $P \setminus \{l_P\}$  meets  $C$  in  $t > 1$  points, where  $t$  is a fixed number. So  $|C| = n(t - 1) + 1$  and thus  $n^2 + n + 1 = |P| = v|C| = v(n(t - 1) + 1)$ . This implies that  $n(n + 1 - v(t - 1)) = v - 1 \geq 1$  whence  $n + 1 - v(t - 1) \geq 1$  and  $n \leq v - 1$ . This leads to the contradiction  $n \leq v - 1 < v \leq v(t - 1) \leq n$ .

So  $G$  is solvable and primitive on the points; it follows (Dembowski [7], p. 212) that  $n^2 + n + 1$  is a prime and hence that  $G$  is a Frobenius group. This implies that  $1 = G_{P_0, P_3} = G_{P_0, 1_0}$  whence the contradiction  $n = 3$ .

LEMMA 4.  $(\bar{L}, P_1) \neq 1$ .

PROOF. Suppose that  $(\bar{L}, P_1) = 1$ . Put  $\alpha = (\bar{L}, P_2)$ . Then  $|\bar{L} \setminus P_3| = s_1 + \alpha s_2$  whence  $s_1 + \alpha s_2 \leq n = s_1 + s_2 + 1$  and thus  $\alpha \in \{0, 1, 2\}$ .

If  $\alpha = 1$ , then each point of  $P_1 \cup P_2 \cup P_3$  is contained in exactly one line of  $\bar{L}$ , which contradicts the fact that the lines of  $\bar{L}$  intersect in points of  $P_1 \cup P_2 \cup P_3$ .

If  $\alpha = 2$ , then  $s_2 = 1$  and  $s_1 = n - 2$ . Counting the set  $\{(P, 1) : P \in P_2, 1 \in \bar{L}, P \in 1\}$  in two ways leads to  $(P_2, \bar{L}) = 2$ , i.e. each line of  $\bar{L}$  contains exactly two points of  $P_2$ .

Fix now some line  $l_S \in \bar{L}$ . Each line of  $\bar{L} \setminus \{l_S\}$  intersects  $l_S$  in a point of  $P_2$ . Thus  $n - 1 = 2$  which is impossible.

If finally  $\alpha = 0$ , then  $(\bar{L}, P_3) = s_2 + 1$ . Counting the set  $\{(P, 1) : P \in P_3, 1 \in \bar{L}, P \in 1\}$  in two ways leads to  $(P_3, \bar{L}) = s_2 + 1$ . Fix some line  $l_S \in \bar{L}$ . Through each point of  $P_3 \cap l_S$  go  $s_2$  lines of  $\bar{L} \setminus \{l_S\}$  and this gives all lines of  $\bar{L} \setminus \{l_S\}$ ; hence

$$n - 1 = s_2(s_2 + 1) \quad \text{and} \quad s_1 = s_2^2 \tag{*}$$

On the other hand  $G_{1_0}$  acts as a rank 3 permutation group on  $l_0 \setminus \{P_3\}$ . From Higman [12] we deduce that  $\mu s_1 = s_2(s_2 - \lambda - 1)$  for integers  $\lambda$  and  $\mu$ . As  $\mu = 0$ , by (\*),  $G_{1_0}$  is imprimitive on  $l_0 \setminus \{P_3\}$ . Hence  $s_2 + 1 \mid n$ , which contradicts (\*).

LEMMA 5.  $(\bar{L}, P_1) \neq 0$ .

PROOF. Suppose that  $(\bar{L}, P_1) = 0$ . Then  $(P_2, \bar{L}) + (P_3, \bar{L}) = n$ . Counting the set

$$\left\{ \begin{array}{l} \{(P, 1) : P \in P_2, 1 \in \bar{L}, P \in 1\} \\ \{(P, 1) : P \in P_3, 1 \in \bar{L}, P \in 1\} \end{array} \right\} \text{ in two ways gives } \left\{ \begin{array}{l} (P_2, \bar{L}) = (\bar{L}, P_2) s_2 \\ (P_3, \bar{L}) = (\bar{L}, P_3) \end{array} \right\}.$$

Fix some line  $l_S \in \bar{L}$  and count the set  $\{1 : 1 \in \bar{L} \setminus \{l_S\}, 1 \cap l_S \neq \emptyset\}$  in two ways:

$(P_2, \bar{L})((\bar{L}, P_2) - 1) + (P_3, \bar{L})((\bar{L}, P_3) - 1) = n - 1$ , whence  $(n - (P_3, \bar{L}))((\bar{L}, P_2) - 1) = n - (P_3, \bar{L})((P_3, \bar{L}) - 1) - 1$ . This implies that either  $(P_3, \bar{L}) = 1$ ,  $(\bar{L}, P_2) = 2$  or  $(\bar{L}, P_2) = 1 < (P_3, \bar{L})$ ,  $(P_3, \bar{L})((P_3, \bar{L}) - 1) = n - 1$ . In the first case we obtain  $(P_2, \bar{L}) = n - 1$  and then  $n - 1 = 2s_2$ , i.e.  $s_1 = s_2 = (n - 1)/2$ . Hence we may interchange the roles of  $P_1$  and  $P_2$ ; we then have  $(\bar{L}, P_1) = 2$ , contrary to Lemma 3. In the second case we obtain  $(P_2, \bar{L}) = s_2$ , i.e.  $(P_3, \bar{L}) = n - s_2 = s_1 + 1$ , and then  $(s_1 + 1)s_1 = n - 1$ . This contradicts  $2s_1 \geq n - 1$ .

The Lemmas 3, 4 and 5 prove that the case III1 cannot occur.

3.2. CASE III2.

By Lemma 1,  $G_{1_0} (G_{1_1})$  induces two point orbits  $\Gamma$  and  $\Delta$  ( $\Gamma'$  and  $\Delta'$ ) on  $l_0 (l_1)$ . We may assume that  $\Gamma = \{P_0\} \cup P_1^{G_{P_0}}, l_0$ ,  $\Delta = P_2^{G_{P_0}}, l_0$ ,  $\Gamma' = \{P_0\} \cup P_3^{G_{P_0}}, l_1$ ,  $\Delta' = P_4^{G_{P_0}}, l_1$ . Clearly  $G_{1_0} (G_{1_1})$  is 2-transitive on  $\Gamma$  ( $\Gamma'$ ). Let  $\gamma \in G$  take  $l_1$  into  $l_0$ . If  $\Gamma = \Gamma'^\gamma$ , then there would exist some collineation in  $G$  taking the flag  $(P_0, l_1)$  into  $(P_0, l_0)$ . This is impossible; hence  $\Delta = \Gamma'^\gamma$  and  $\Gamma = \Delta'^\gamma$ . It follows that  $|\Gamma| \leq |\Delta|$  or  $|\Gamma'| \leq |\Delta'|$ . By interchanging the roles of  $l_0$  and  $l_1$ , if necessary, we may assume for the following that  $|\Gamma| \leq |\Delta|$ . It also follows that  $G_{1_0}$  is 2-transitive on  $\Delta$ . Moreover we see that  $G_{1_0, X}$  is transitive on  $\Delta$  ( $\Gamma$ ) for any  $X \in \Gamma$  ( $\Delta$ ).

We may summarize the situation obtained up to now by the following lemma.

LEMMA 6. Let  $\mathbb{P}$  be a finite projective plane with a rank 5 collineation group  $G$  which is not flag-transitive. Then, for any line  $l$ ,  $G_l$  induces two orbits  $\Gamma$  and  $\Delta$  on  $l$  and is 2-transitive on  $\Gamma$  and  $\Delta$  such that, for any  $X \in \Gamma$  ( $\Delta$ ),  $G_{l, X}$  is transitive on  $\Delta$  ( $\Gamma$ ).

REMARK. If  $|\Gamma| < |\Delta|$ , then the fact that, for any  $X \in \Gamma$ ,  $G_{l, X}$  is transitive on  $\Delta$  also follows from Hilfssatz 1 of Itδ [13].

Clearly, the dual of the situation described in the lemma also holds.

We will prove in Lemma 10 that  $G_{1_0}$  acts faithfully on  $\Delta$ . Thus  $G_{1_0}$  has a unique minimal normal subgroup which is elementary abelian or simple (Burnside [8], p. 202). If the socle is simple (and not abelian) then it is 2-transitive on  $\Delta$  with one exception (the group  $PSL(2,8)$  of degree 28) (Cameron [4], p. 8 and 9). In the Lemmas 12, 13 and 15 we will exclude the elementary abelian, the 2-transitive and the exceptional case, whereby the case III2 will be shown to be impossible.

LEMMA 7.  $|\Gamma| \geq 3$ .

PROOF. Clearly  $|\Gamma| \geq 2$ . Assume that  $|\Gamma| = 2$ :  $\Gamma = \{P_0, P_1\}$ . Then, by Lemma 1,  $|P_1^{G_{P_0}}| = 2$ , i.e.  $P_1^{G_{P_0}} = \{P_1, P\}$  for some point  $P \notin l_0$ . This implies that  $G_P$  fixes the line  $P_1P$ . Hence  $G_{P_0, l_1}$  fixes the point  $l_1 \cap P_1P$ . As  $|\Delta'| \geq 2$ , we then obtain  $l_1 \cap P_1P = \{P_3\}$ . So  $|\Gamma'| = 2$  and  $n = 3$ , which is impossible.

Hence we may assume in the following that  $(|\Delta| \geq) |\Gamma| \geq 3$ .

As an immediate consequence of Lemma 7 we have the next lemma.

LEMMA 8. For any point P (line l),  $G_p (G_1)$  fixes no line (point).

LEMMA 9. Let  $|\Delta| = p^d$ , where p is a prime. Then the following holds:

- a) If d is even, then no involution in G fixes  $\Gamma$  pointwise.
- b) If  $p \mid n$ , then  $ZG_{1_0, P_2}$  contains no involution.

PROOF. a) Suppose that  $\sigma \in G$  is a (planar) involution which fixes  $\Gamma$  pointwise.

Then  $|\Gamma| \leq \sqrt{n} + 1$  and therefore  $n + 1 = |\Gamma| + p^d \leq \sqrt{n} + 1 + p^d$ . This implies that  $\sqrt{n}(\sqrt{n} - 1) \leq p^d$ , whence  $\sqrt{n} \leq p^{d/2}$  as d is even. But then  $n \leq p^d$ , which is impossible.

b) Suppose that  $p \mid n$  and that  $\sigma \in ZG_{1_0, P_2}$  is a (planar) involution. If  $\sigma$  fixes some point of  $\Delta \setminus \{P_2\}$ , then  $\sigma$  fixes every point of  $\Delta$  and no point of  $\Gamma$ , since  $G_{1_0, P_2}$  is transitive on  $\Delta \setminus \{P_2\}$  and  $\Gamma$ . But then  $p^d = \sqrt{n} + 1$ , which is impossible. It follows that  $\sigma$  fixes every point of  $\Gamma$  and no point of  $\Delta \setminus \{P_2\}$ . Hence  $\sqrt{n} = |\Gamma| = n + 1 - p^d$ , which again is a contradiction.

Let A (resp. B) denote the kernel of the permutation representation induced by  $G_{1_0}$  on  $\Gamma (\Delta)$ . Dually let  $\bar{A} (\bar{B})$  denote the kernel of the representation induced by  $G_{P_0}$  on  $\bar{\Gamma} = 1_0 \circ G_P (\bar{\Delta} = 1_1 \circ G_P)$ . By Lemma 1 we have  $|\Gamma| = |\bar{\Gamma}|, |\Delta| = |\bar{\Delta}|$ .

LEMMA 10.  $G_{1_0}$  acts faithfully on  $\Delta$ , i.e.  $B = 1$ .

PROOF. Suppose that  $B \neq 1$ . Clearly  $A \cap B = 1$ . If B contains a (planar) involution, then we obtain the contradiction  $|\Delta| \geq (n + 1)/2 > \sqrt{n} + 1$ . Hence B is of odd order  $\geq 3$ .  $G_{1_0}/A$  is (faithful and) 2-transitive on  $\Gamma$  and so has a unique minimal normal subgroup  $M/A$  with  $A \triangleleft M \trianglelefteq G_{1_0}$ . Since  $AB/A$  is a normal subgroup of  $G_{1_0}/A$  of odd order  $\geq 3$ , it follows that  $M/A$  is a solvable normal subgroup of the primitive group  $G_{1_0}/A$  and therefore regular, elementary abelian and of odd prime power order  $p^r$ .

$1 \triangleleft (M \cap B)/A \trianglelefteq G_{1_0}/A$  implies that  $M \cap B$  is transitive on  $\Gamma$  and we deduce from  $(M \cap B)/A \leq M/A$  and  $(M \cap B) \cap A = 1$  that  $M \cap B$  is elementary abelian of order  $p^s$  with  $s \leq r$ . It follows that  $M \cap B$  is regular on  $\Gamma$ .

Now let  $\alpha \in (M \cap B) \setminus 1$ .  $\alpha$  fixes no element of  $\Gamma$ . Therefore, if the structure  $\mathbb{F}(\alpha)$  of elements which are fixed by  $\alpha$  is a subplane of  $\mathbb{P}$ , then its order is  $|\Delta| - 1 \geq (n - 1)/2 > \sqrt{n}$ , which is impossible. If all the lines of  $\mathbb{F}(\alpha)$  go through a point of  $\Delta$ , then we get a contradiction to the fact that A commutes elementwise with  $\alpha$  and is transitive on  $\Delta$ , as  $1 \triangleleft AB/B \trianglelefteq G_{1_0}/B$  and  $G_{1_0}/B$  is 2-transitive on  $\Delta$ . It remains the possibility that  $\mathbb{F}(\alpha)$  is not a subplane but contains a point  $R \notin 1_0$ . Then A leaves R fixed. Moreover  $|R \cap 1_0| \neq 1$ , by Lemma 8. It follows that A fixes elementwise a subplane  $\mathbb{P}' = (P', L')$  of

$\mathbb{P}$  of order  $|\Gamma| - 1 = p^r - 1$ .  $G_{1_0}$  acts as a collineation group on  $\mathbb{P}$ .  $M \cap B$  is regular on  $\Gamma$  and thus fixes at most one point of  $\mathbb{P} \setminus \Gamma$ . As  $p \nmid (|\Gamma| - 1)^2 = |\mathbb{P} \setminus \Gamma|$ ,  $M \cap B$  fixes exactly one point of  $\mathbb{P} \setminus \Gamma$ . This point is also left fixed by  $G_{1_0}$ , contrary to Lemma 8.

By Lemma 10  $G_{1_0}$  has a unique minimal normal subgroup. Let us denote this subgroup by  $M$ .

LEMMA 11.  $G_{1_0}$  doesn't act faithfully on  $\Gamma$ , i.e.  $A \neq 1$ .

PROOF. Suppose that  $A = 1$ . By Lemma 10 we also have  $B = 1$ . If the socle  $M$  is elementary abelian of order  $p^r$ , then  $M$  fixes a point  $R \notin 1_0$ , since  $p \nmid n = |\Gamma| + |\Delta| - 1 = 2p^r - 1$ . As  $M$  doesn't fix any point on  $1_0$ ,  $R$  is the only point not on  $1_0$  which is fixed by  $M$ . Thus  $R$  is also left fixed by  $G_{1_0}$ , contrary to Lemma 8.

Hence  $M$  is not elementary abelian. Then  $M$  is simple and (Cameron [4], p. 8 and 9) either 2-transitive on  $\Gamma$  and  $\Delta$  or isomorphic to  $PSL(2,8)$  with  $|\Gamma|$  or  $|\Delta|$  equal to 28. In the following we will show that actually  $M$  cannot be isomorphic to any one of the (non-abelian) simple groups which can occur as socles of 2-transitive groups (see Cameron [4], p. 8 and 9). This will give the contradiction proving Lemma 11.

Assume at first that  $|\Gamma| = |\Delta|$ . Since  $G$  contains involutions but no central collineations  $n = 2|\Gamma| - 1$  is a square. This immediately excludes the following possibilities for  $M$ :

$PSL(2,11)$  of degree 11,  $PSL(2,8)$  of degree 28,  $A_7$  of degree 15,  $M_{11}$ ,  $M_{12}$ ,  $M_{22}$ ,  $M_{23}$ ,  $M_{24}$ ,  $HS$ ,  $Co_3$ .

Now put  $n = (2c + 1)^2$ . Then  $2c(c + 1) = |\Gamma| - 1$ . We conclude that  $|\Gamma|$  is odd and  $|\Gamma| - 1$  is not a prime power  $> 4$ . It follows that  $M$  is distinct from  $PSp(2d,2)$ ,  $PSL(2,q)$  of degree  $q + 1$  ( $q > 4$ ),  $PSU(3,q^2)$  ( $q > 2$ ),  $Sz(q)$  ( $q > 2$ ),  ${}^2G_2(q)$  ( $q > 3$ ). If  $M \cong PSL(3,q)$ ,  $|\Gamma| = (q^3 - 1)/(q - 1) = q^2 + q + 1$ , then  $2c(c + 1) = q(q + 1)$ . This is easily seen to be impossible if  $q \neq 3$ .

By considering the number of points on  $1_0$  which are fixed by appropriate involutions one can handle the remaining cases  $A_k$  of degree  $k \geq 5$ ,  $PSL(3,3)$  of degree 13 and  $PSL(d,q)$  of degree  $(q^d - 1)/(q - 1)$  ( $d \geq 4$ ):

Suppose that  $M \cong A_k$ ,  $|\Gamma| = k$  ( $k \geq 5$ ). Then  $M$  has involutions fixing  $k - 4$  points in  $\Gamma$ . Since  $k - 4 > \sqrt{2k} - 1 + 1 = \sqrt{n} + 1$  if  $k \geq 10$ , we have  $5 \leq k \leq 10$ . The fact that  $n = 2k - 1$  is a square then implies  $k = 5$  and  $n = 9$ . Since any involution in  $A_5$  (acting on a set of five elements) fixes exactly one element, any involution in  $M$  fixes two points in  $\Gamma \cup \Delta$ . This is impossible.

Now suppose that  $M$  is similar to  $PSL(3,3)$  in its action on the point or line set of the projective plane  $\mathbb{P}(3)$ . Then  $|\Gamma| = 13$ . Since every involution of  $PSL(3,3)$  fixes five points and five lines in  $\mathbb{P}(3)$ , the involutions in  $M$  fix  $2.5 > 6 = \sqrt{2.13 - 1} + 1 = \sqrt{n} + 1$  elements in  $\Gamma \cup \Delta$ , which is impossible.

Finally suppose that  $M$  is similar to  $PSL(d,q)$  ( $d \geq 4$ ), where  $PSL(d,q)$  is considered as acting on the set of points or hyperplanes in the projective space  $\mathbb{P}(d-1,q)$ . There are involutions in  $PSL(d,q)$  fixing  $(q^{d-1} - 1)/(q - 1) + 1$  (if  $q$  is odd) or  $(q^{d-1} - 1)/(q - 1)$  (if  $q$  is even) points resp. hyperplanes in  $\mathbb{P}(d-1,q)$ . Since (for  $d \geq 4$ )  $(q^{d-1} - 1)/(q - 1) > \sqrt{2(q^d - 1)/(q - 1)} - 1 + 1 = \sqrt{n} + 1$ , we get again a contradiction.

Assume now that  $|\Gamma| < |\Delta|$ . To exclude this case we show that  $M$  cannot be isomorphic to a group that appears as the socle of a 2-transitive group which admits 2-transitive permutation representations of different degrees. The fact that  $n = |\Gamma| + |\Delta| - 1$  is a square implies that  $M$  is not isomorphic to  $PSL(2,4)$  (of degree 5 and 6),  $PSL(2,7)$  (7,8),  $PSL(2,9)$  (6,10),  $PSL(4,2)$  (8,15),  $PSL(2,11)$  (11,12),  $A_7$  (7,15),  $M_{11}$  (11,12),  $PSp(2d,2)$  ( $2^d - 1(2^d + 1), 2^d - 1(2^d - 1)$ ) (since  $n + 1 \not\equiv 2 \pmod{4}$  and  $|\Gamma| + |\Delta| = 2^{2d} \equiv 0 \pmod{4}$ ). If  $M$  is isomorphic to  $PSL(2,8)$  of degree 9 and 28 then  $n = 36$ . Hence any involution of  $M$  would fix a subplane of order 6, which is impossible.

This completes the proof of Lemma 11.

LEMMA 12.  $M$  is not elementary abelian.

PROOF. Assume that  $M$  is elementary abelian of order  $p^d$ . As  $M \trianglelefteq A \neq 1 = B$ ,  $M$  is regular on  $\Delta$ ,  $|\Delta| = p^d$  and  $M$  fixes each point of  $\Gamma$ . Assume at first that  $p \nmid n$ . Then  $M$  fixes equally many points and lines. The lines fixed by  $M$  are not concurrent, since  $M \trianglelefteq G_{1_0}$  and  $G_{1_0}$  is transitive on  $\Gamma$ . Suppose that the lines distinct from  $l_0$  which  $M$  leaves fixed all go through a point  $R \notin l_0$ . Then  $G_{1_0}$  fixes  $R$ , contrary to Lemma 8. It follows that the fixed structure  $\mathbb{F}(M) = (P', L')$  of  $M$  is a subplane of order  $|\Gamma| - 1$  and hence  $|\Gamma| - 1 = \sqrt{n}$  or  $(|\Gamma| - 1)|\Gamma| \leq n - 2$ . If  $|\Gamma| - 1 = \sqrt{n}$ , then  $n = p^d + \sqrt{n}$ , a contradiction. Assume now that  $(|\Gamma| - 1)|\Gamma| \leq n - 2$ . Then  $G_{1_0}$  is transitive on  $L' \setminus \{l_0\}$ , as it has finite line orbits on  $L$ . Let's consider the line orbits induced on  $P_2 \setminus \{l_0\}$  by  $G_{1_0, P_2}$ . The lengths of these orbits are  $|\Delta| - 1$  and  $|\Gamma|$ . On the other hand one of these orbits consists of the lines of  $P_2$  which contain one point of  $P' \setminus l_0$  and hence has length  $(|\Gamma| - 1)^2$ . Therefore  $|\Delta| - 1 = (|\Gamma| - 1)^2$ , whence the contradiction  $(|\Gamma| - 1)|\Gamma| = |\Gamma| + |\Delta| - 2 = n - 1$ .

Now suppose that  $p \mid n$ . We may assume for the following that  $p \neq 2$  for otherwise the involutions of  $M$  would fix  $|\Gamma| = \sqrt{n} + 1$  points on  $l_0$ , whence the contradiction  $n = 2^d + \sqrt{n}$ .

$\mathbb{F}(M) = (\Gamma, \{l_0\})$  constitutes the only possibility for  $\mathbb{F}(M)$  not excluded by the proof above. To cover this case we use the fact that the action of  $G_{1_0}$  on  $\Delta$  is similar to the action of a subgroup of the affine group  $A(d,p)$  on the vector space  $\mathbb{V}(d,p)$  (Huppert [14], p. 162). We identify  $\Delta$  with the set  $\mathbb{V}(d,p)$ . Then  $H \cong G_{1_0, P_2}$  is a subgroup of

$GL(d,p)$  which is transitive on  $W(d,p)\setminus\{0\}$ . Put  $A = MW$ , where  $W \trianglelefteq H$ . We have  $H/W \cong MH/MW = G_1/A$ . So  $H/W$  has a faithful 2-transitive representation on  $\Gamma$ .

Hering [5] has classified all the subgroups of  $GL(d,p)$  which are transitive on  $W(d,p)\setminus\{0\}$ . We shall show that none of these can occur here (see the list given in Huppert and Blackburn [6], p. 386). For this reason let  $L$  be a subfield of  $\text{Hom}(W,W)$  containing the identity map and maximal with respect to the condition that  $L$  is normalized by  $H$  and put  $|L| = p^e$ . Then  $W(d,p)$  can be considered as a vector space  $W(d/e,p^e)$  of dimension  $d/e$  over  $L$  and we have  $H \leq \Gamma L(d/e,p^e)$ .

The cases (3), (6), (7) and (9) of the list cannot occur, since  $p \neq 2$ .

Case (1):  $SL(d/e,p^e) \leq H \leq \Gamma L(d/e,p^e)$ .

Assume  $d/e$  is even. Then there is an involution  $\sigma \in SL(d/e,p^e) \cap Z\Gamma L(d/e,p^e)$ . Hence  $\sigma \in ZH$ . This is in conflict with Lemma 9b).

Assume now  $d/e$  is odd and  $d/e \geq 3$ . As  $ZSL(d/e,p^e) \trianglelefteq H$ , we have  $ZSL(d/e,p^e)W/W \trianglelefteq H/W$ . Suppose that  $ZSL(d/e,p^e)W/W \neq 1$ . Then  $H/W$  has a cyclic minimal normal subgroup  $\langle \alpha W \rangle$ ,  $\alpha \in ZSL(d/e,p^e)$ , of prime order  $|\Gamma| = q \geq 3$ . Every involution in  $SL(d/e,p^e)$  fixes elements of  $\Gamma$ , since the number of fixed points in  $\Delta$  is a power of  $p$  and so is inferior to  $\sqrt{n} + 1$ . It follows that every involution in  $SL(d/e,p^e)$  fixes all points of  $\Gamma$ . But then all involutions of  $SL(d/e,p^e)$  fix the same number of points in  $\Delta$ . This implies that  $d/e = 3$ . But the involutions of  $SL(3,p^e)$  leave  $p^e$  points fixed. Thus  $q + p^{3e} = n + 1$  and  $q + p^e = \sqrt{n} + 1$ , whence  $p^e(p^{2e} - 1) = \sqrt{n}(\sqrt{n} - 1)$ . So  $\sqrt{n} = p^e n^*$ , where  $p \nmid n^*$ , and then  $p^e(n^{*2} - p^e) = n^* - 1$ . This leads to  $n^* > p^e$ , whence  $\sqrt{n} > p^{2e}$ , which is impossible. This contradiction implies that  $ZSL(d/e,p^e)W/W = 1$ , i.e.  $ZSL(d/e,p^e) \leq W$ . Since  $PSL(d/e,p^e)$  is simple, we have either  $SL(d/e,p^e) \cap W = ZSL(d/e,p^e)$  or  $SL(d/e,p^e) \cap W = SL(d/e,p^e)$ . In the second case every involution of  $SL(d/e,p^e)$  leaves  $\Gamma$  element-wise fixed, whence a contradiction as before. In the first case we deduce from  $PSL(d/e,p^e) = SL(d/e,p^e)/(SL(d/e,p^e) \cap W) \cong SL(d/e,p^e)W/W \trianglelefteq H/W$  and Bannai [15] (Theorem 1) that the action of the subgroup  $SL(d/e,p^e)W/W$  of  $H/W$  on  $\Gamma$  is similar to the natural action of  $PSL(d/e,p^e)$  on the set of points or hyperplanes of the projective space  $\mathbb{P}((d/e) - 1, p^e)$ . Hence  $|\Gamma| = (p^d - 1)/(p^e - 1)$  and so  $n = |\Gamma| + |\Delta| - 1 = ((p^d - 1)/(p^e - 1)) + p^d - 1 = p^e(p^d - 1)/(p^e - 1)$ . Since  $SL(d/e,p^e)$  has an involution fixing  $p^d - 2e$  points of  $\Delta$ , we must have  $p^{2(d-2e)} \leq p^e(p^d - 1)/(p^e - 1)$ . It follows that  $p^e < p^{5e-d} + 1$ , i.e.  $d/e = 3$ . Now consider an involution  $\sigma \in SL(3,p^e)$ .  $\sigma$  fixes  $p^e$  elements in  $\Delta$  and leaves either  $p^e + 2$  or  $p^e + p^{e/2} + 1$  elements in  $\Gamma$  invariant, since these are the numbers of points or lines in the projective plane  $\mathbb{P}(p^e)$  which are left invariant by any involution in  $PSL(3,p^e)$ . Thus  $\sigma$  fixes either  $2(p^e + 1)$  or  $2p^e + p^{e/2} + 1$  elements on  $l_0$ . But this is impossible.

Assume finally that  $d/e = 1$ . We have  $1 \leq H \leq \Gamma L(1,p^e)$  and  $H'W/W \trianglelefteq H/W$ .  $H'W/W \neq 1$ , since  $H/W$  is not abelian, and  $H'$  is cyclic, since  $H' \leq \Gamma L'(1,p^e)$ . So  $H/W$  is solvable and has

a cyclic minimal normal subgroup of (odd) prime order  $q$ . By Huppert [16]  $H/W$  is similar to a subgroup of the semilinear group  $\Gamma(q)$  acting on  $GF(q)$ . In particular,  $H/W$  is a Frobenius group. Now consider an involution  $\sigma \in H$ . By Lemma 9b) we have  $\sigma \notin ZH$ . This implies that  $e$  (and  $d$ ) are even. Thus  $\sigma \notin W$ , by Lemma 9a). So  $\sigma$  leaves exactly one point in  $\Gamma$  fixed. But any involution in  $\Gamma L(1, p^e)$  fixes at most  $p^{e/2}$  elements in  $GF(p^e)$ . Hence  $\sqrt{q + p^e - 1} + 1 = \sqrt{n} + 1 \leq p^{e/2} + 1$ , which is absurd.

In the remaining four cases (2), (4), (5) and (8)  $ZH$  is easily seen to contain an involution. Thus these cases are excluded by Lemma 9b). This completes the proof of Lemma 12.

LEMMA 13.  $M$  is not similar to  $PSL(2,8)$  of degree 28.

PROOF. If  $M \cong PSL(2,8)$  and  $|\Delta| = 28$ , then  $|\Gamma| \geq 9$ , since  $n = |\Gamma| + |\Delta| - 1 = |\Gamma| + 27$  must be a square. Moreover, the involutions in  $M$  fix all points of  $\Gamma$ , as  $M \leq A$ . This gives the contradiction  $9 \leq |\Gamma| \leq \sqrt{n} + 1 = \sqrt{|\Gamma| + 27} + 1$ .

LEMMA 14.  $\bar{A} \neq 1 = \bar{B}$ .

PROOF. By Lemma 10 and 11 and their duals, either  $\bar{A} \neq 1 = \bar{B}$  or  $\bar{A} = 1 \neq \bar{B}$ . Suppose that  $\bar{A} = 1 \neq \bar{B}$ . The socle  $M$  (resp.  $\bar{M}$ ) of  $G_1$  ( $G_P$ ) contains involutions, by Lemma 12 and its dual. As  $M \triangleleft A$  and  $\bar{M} \triangleleft \bar{B}$ , it follows that  $|\Gamma| \leq \sqrt{n} + 1$  and  $|\Delta| = |\bar{\Delta}| \leq \sqrt{n} + 1$ , whence the contradiction  $n = |\Gamma| + |\Delta| - 1 \leq 2\sqrt{n} + 1$ .

LEMMA 15.  $M$  is not 2-transitive on  $\Delta$ .

PROOF. Suppose that  $M$  is 2-transitive on  $\Delta$ .  $A \neq 1 = B$  and  $\bar{A} \neq 1 = \bar{B}$ , by Lemma 10, 11 and 14. The socles  $M$  and  $\bar{M}$  of  $G_1$  and  $G_{P_0}$  are simple, by Lemma 12 and 13 and their duals, and  $M \triangleleft G_{1, P_0}$  and  $\bar{M} \triangleleft G_{P_0, 1_0}$ . So  $M$  and  $\bar{M}$  are minimal normal subgroups of  $G_{P_0, 1_0}$ . But  $G_{P_0, 1_0}$  is 2-transitive on  $\Delta$ , by Lemma 6, and hence has a unique minimal normal subgroup. Therefore  $M = \bar{M}$  and  $M$  fixes each line of  $\bar{\Gamma}$ . If we apply the same arguments to any point of  $\Gamma$ , we see that  $M$  fixes lines through each point of  $\Gamma$ . It follows that  $M$  fixes elementwise a subplane  $\mathbb{F}(M) = (P', L')$  of order  $|\Gamma| - 1$ . Hence  $|\Gamma| - 1 = \sqrt{n}$  or  $(|\Gamma| - 1)|\Gamma| \leq n - 2$ .

Assume that  $|\Gamma| - 1 = \sqrt{n}$ . Then  $\mathbb{F}(M)$  is a Baer subplane and every line of  $\mathbb{P}$  contains points of  $\mathbb{F}(M)$ . This implies that  $M_{P_2}$  fixes all lines through  $P_2$ ; hence  $M_{P_2} = 1$  and thus  $|\Delta| \leq 2$ , contrary to Lemma 7.

Now assume that  $(|\Gamma| - 1)|\Gamma| \leq n - 2$ . This case can be excluded as in the proof of Lemma 12.

In view of Lemma 10, 12, 13, 15 and the results in Cameron [4], p. 8 and 9, the case III2 cannot occur. This completes the proof of Theorem 1.

4. PROOF OF THEOREM 2.

To prove Theorem 2 we essentially proceed as in the rank 3 case (Kallaher [1]).

Let  $\mathbb{P} = (P, L)$  be a projective plane of finite order  $n \neq 3$  with a rank 5 collineation group  $G$ .  $G$  is flag-transitive, by Theorem 1, and  $n > 4$ , by Lemma 2. By Ott [17] and [18]  $n$  is a prime power.

If  $\overline{P}$  is desarguesian, then (Higman and Mc Laughlin [9])  $G$  contains all elations and so is 2-transitive. This contradiction proves b).

Assume that  $G$  is solvable. Since  $G$  is primitive on  $P$ ,  $n^2 + n + 1$  must be a prime. Hence  $G$  acts as a Frobenius group on  $P$ . Fix some flag  $(P_o, l_o)$  and let  $P_i \in G P_o$ , where  $P_i \in l_o$  and  $i = 0, 1, 2, 3, 4$ , denote the point orbits of  $G_{P_o}$ . Then  $|P_i \cap l_o| = n/4$ , whence  $|G| = (n^2 + n + 1)(n + 1)n/4$ . Since  $G$  acts as a Frobenius group on  $P$ , it contains no involutions. So  $|G|$  is odd and thus  $n = 4$ . Hence we have a contradiction. This proves a).

To complete the proof of Theorem 2 assume first that  $n$  is odd. Then (Higman and Mc Laughlin [9], Proposition 10)  $n$  is a fourth power. Now assume  $n$  is even. Then a), b) and the lemma in Keiser [19] imply that  $n = m^2$  with  $m \equiv 0 \pmod{4}$ .

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