

## THE ORDER TOPOLOGY FOR FUNCTION LATTICES AND REALCOMPACTNESS

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**ABSTRACT.** A lattice  $K(X, Y)$  of continuous functions on space  $X$  is associated to each compactification  $Y$  of  $X$ . It is shown for  $K(X, Y)$  that the order topology is the topology of compact convergence on  $X$  if and only if  $X$  is realcompact in  $Y$ . This result is used to provide a representation of a class of vector lattices with the order topology as lattices of continuous functions with the topology of compact convergence. This class includes every  $C(X)$  and all countably universally complete function lattices with  $l$ . It is shown that a choice of  $K(X, Y)$  endowed with a natural convergence structure serves as the convergence space completion of  $V$  with the relative uniform convergence.

**KEY WORDS AND PHRASES.** Order topology, relative uniform convergence, realcompactness, universally complete function lattice, convergence space completion.

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### 1. INTRODUCTION.

In this paper we will study a broad class of real function lattices which we call "2-universally complete." For this class we will show that the order topology  $T_o$  (also called the order bound topology and the relative uniform topology) is the topology of compact convergence in an appropriate representation (Theorem 2). We will show (Proposition 3) that the 2-universally complete lattices include the

lattices  $C(X)$ , all continuous real-valued functions on  $X$ , and all countably universally complete lattices containing 1. (An example of this latter type is discussed in Example 1.)

The proof of Theorem 2 requires a construction which is studied independently in § 1. In particular, sublattices  $K(X, Y)$  of  $C(X)$  for compactifications  $Y$  of  $X$  are investigated. Theorem 1 states that the order topology for  $K(X, Y)$  is the topology of compact convergence on  $X$  if and only if  $X$  is realcompact in  $Y$ . (This concept of realcompactness was studied in [10].)

Since the order topology is the finest locally convex topology in which every relatively uniformly convergent net converges (see [5]), in § 3 we consider the 2-universally complete function lattice  $V$  with relative uniform convergence as a convergence function lattice  $V_\rho$ , without reference to its associated order topology. We show (Theorem 4) that  $K(X, Y)$  endowed with a natural convergence structure serves as the completion in the convergence space sense of  $V_\rho$ .

We remark that (assuming without loss of generality that  $X$  is realcompact) it can be seen directly that the order topology is the topology of compact convergence for the lattice  $C(X)$ . This follows from [13, p. 124] since every positive linear functional is continuous with respect to the topology of compact convergence (see [6]) and since  $C(X)$  with the topology of compact convergence is barrelled (see [11]).

## 2. THE ORDER TOPOLOGY FOR $K(X, Y)$

Let  $Y$  be a compact Hausdorff space and  $X$  a dense subspace. We denote by  $F(X, Y)$  the set of all nonnegative extended real-valued continuous functions on  $Y$  which are finite on  $X$ . For  $f$  in  $F(X, Y)$  we let  $\Lambda_f$  be the set in  $Y \setminus X$  where  $f$  is infinite. We set

$$K(X, Y) = \bigcup \{C(Y \setminus \Lambda_f) : f \in F(X, Y)\}.$$

Since  $X$  is dense in each  $Y \setminus \Lambda_f$ , by restricting the functions in  $K(X, Y)$  to  $X$  we can view  $K(X, Y)$  as a sublattice (and also a subalgebra) of  $C(X)$ .

LEMMA 1. For each function  $g$  in  $K(X, Y)$  there is a function  $f$  in  $F(X, Y)$  such that  $g \leq f$ .

PROOF. Given  $g$  in  $K(X, Y)$  there is a function  $h \geq 0$  in  $F(X, Y)$  such that  $g$  is in  $C(Y \setminus \Lambda_h)$ . We consider the compact subsets

$$A_n = h^{-1} [n-1, n]$$

and  $B_n = h^{-1} [0, n-2] \cup h^{-1} [n+1, \infty]$

of  $Y$  ( $n = 1, 2, \dots$ ) with the understanding that  $B_1 = h^{-1} [2, \infty]$ . Using separating functions on  $Y$ , one can construct for each  $n$  a continuous function  $f_n$  such that

$$f_n(x) = \sup \{g(z) : z \in A_n\} \text{ for } x \text{ in } A_n$$

and  $f_n(x) = h(x)$  for  $x$  in  $B_n$ .

On  $Y \setminus \Lambda_h$  the function  $f$  defined by

$$f(x) = \sup \{f_n(x) : n = 1, 2, \dots\}$$

is continuous, since at each point  $x$  in  $Y \setminus \Lambda_h$  there is a neighborhood of  $x$  on which  $f$  is the supremum of finitely many functions  $f_n$ . Moreover,  $f \geq h$  on  $Y \setminus \Lambda_h$  and hence extends continuously to  $Y$  (i.e.,  $f(x) = \infty$  for  $x$  in  $\Lambda_h$ ). Thus  $f$  is in  $F(X, Y)$ , and  $g \leq f$ .

For  $Y$  a compact Hausdorff space and  $X$  a dense subspace of  $Y$ , we will say that  $X$  is realcompact in  $Y$  if

$$X = \bigcap \{Y \setminus \Lambda_f : f \in F(X, Y)\}$$

This concept has been considered by Lorch in [10]. Where  $\beta X$  denotes the Stone-Čech compactification of  $X$ , we note that  $X$  is realcompact if and only if  $X$  is realcompact in  $\beta X$ . If  $X$  is realcompact in  $Y$  it follows that  $X$  is realcompact, since  $Y$  is a quotient of  $\beta X$ . On the other hand, the real line  $X$  with its discrete topology is realcompact but not realcompact in its one-point compactification  $Y$ : The set  $\Lambda_f$  is empty for each  $f$  in  $F(X, Y)$  since  $X$  is not  $\sigma$ -compact, implying

$$\bigcap \{Y \setminus \Lambda_f : f \in F(X, Y)\} = Y.$$

We note that the following proposition is also a consequence of work done in [8].

PROPOSITION 1. A completely regular space  $X$  is realcompact in each of its compactifications if and only if it is Lindelöf.

PROOF. Suppose  $X$  is Lindelöf,  $Y$  is a compactification of  $X$  and  $p \in Y \setminus X$ . Arguing as in [9], for each  $x$  in  $X$  we define a Urysohn function  $h_x$  on  $Y$  such that  $h_x(x) = 1$ ,  $h_x(p) = 0$  and  $0 \leq h_x \leq 1$ . Then  $\{h_x^{-1}((\frac{1}{2}, \infty)) : x \in X\}$  is an open cover of  $X$  having a countable subcover corresponding to functions  $\{h_n\}_{n=1}^{\infty}$ . Let  $h = \sum h_n / 2^n$ , a non-negative member of  $C(Y)$  which is strictly positive on  $X$  and zero at  $p$ . Thus  $p$  is in  $\Lambda_{1/h}$ , showing that  $X$  is realcompact in  $Y$ . Conversely, if  $X$  is not Lindelöf, by [9] there is a compact set  $K$  in  $\beta X \setminus X$  which is not contained in a zero set in  $\beta X \setminus X$ . Let  $Y$  be that quotient of  $\beta X$  obtained by identifying the points of  $K$ . Since the image of  $K$  in  $Y$  cannot be contained in a zero set in  $Y \setminus X$ ,  $X$  is not realcompact in  $Y$ .

The subscript  $co$  will denote the topology of compact convergence and the subscript  $T_0$  will denote the order topology. For a completely regular space  $X$  with realcompactification  $\nu X$ , as noted in the introduction,  $C_{T_0}(\nu X) = C_{co}(\nu X)$ . Since  $C_{co}(X) \neq C_{co}(\nu X)$  if  $X$  is not realcompact, we conclude that

$$C_{T_0}(X) = C_{co}(X)$$

if and only if  $X$  is realcompact (in  $\beta X$ ). We provide the following generalization, noting that  $K(X, \beta X)$  is  $C(X)$ .

THEOREM 1. Let  $Y$  be a compact Hausdorff space and  $X$  be a dense subspace of  $Y$ . Then in  $K(X, Y)$  the order topology coincides with the topology of compact convergence on  $X$  if and only if  $X$  is realcompact in  $Y$ .

PROOF. Setting

$$Z = \bigcap \{Y \setminus \Lambda_f : f \in F(X, Y)\},$$

we note that

$$K(X, Y) = K(Z, Y).$$

We abbreviate  $K(X, Y)$  and  $F(X, Y)$  to  $K$  and  $F$ . The subscript  $\rho$  will denote the relative uniform convergence structure. To complete the proof, we show that

the topology of  $K_{T_0}$  is the topology of compact convergence on  $Z$ . Let  $\{f_\alpha\}$  be a net convergent to zero in  $K_\rho$ . (As noted in the Introduction,  $T_0$  is the finest locally convex topology in which every net convergent in  $K_\rho$  converges.)

There is a  $g \geq 0$  in  $K$  such that for all  $n$ ,

$$|f_\alpha| \leq \frac{1}{n} \cdot g \quad (\alpha \geq \alpha_n).$$

Clearly  $\{\frac{1}{n} \cdot g\}$  converges to zero in  $C_{co}(Y \setminus \Lambda_g)$  and hence in  $C_{co}(Z)$ .

Since  $C_{co}(Z)$  is a topological vector lattice,  $\{f_\alpha\}$  converges in  $C_{co}(Z)$ .

Thus the map from  $K_{T_0}$  into  $C_{co}(Z)$  is continuous. To show that  $T_0$  is coarser than the topology of compact convergence on  $Z$ , let  $U$  be a closed, absolutely convex, solid neighborhood of zero in  $K_{T_0}$ . We remark that for  $f \in F$ , the inclusion map from  $C_{co}(Y \setminus \Lambda_f)$  into  $K_{T_0}$  is continuous. This follows from the fact that the map from  $C_\rho(Y \setminus \Lambda_f)$  and hence from  $C_{T_0}(Y \setminus \Lambda_f)$  into  $K_{T_0}$  is continuous. (As noted in the introduction,  $C_{T_0}(Y \setminus \Lambda_f)$  is  $C_{co}(Y \setminus \Lambda_f)$ .)

Since  $C_{co}(Y)$  is  $C_{co}(Y \setminus \Lambda_1)$  and  $U$  is solid,

$$U \supseteq \{g \in K: \|g\|_Z \leq \delta\}$$

where  $\|\cdot\|_Z$  denotes the supremum on  $Z$ , and  $\delta$  is a fixed positive number.

The following argument uses techniques found in [11]. We will call a compact set  $G$  in  $Y$  a support set for  $U$  if for  $f$  in  $F$ ,  $f$  is in  $U$  whenever its restriction to  $G$  vanishes. For example,  $Y$  is a support set for  $U$  and, assuming  $U$  does not contain  $F$  (if  $U \supseteq F$  then  $U = K$  by Lemma 1), the empty set is not a support set for  $U$ . We note several properties of support sets for  $U$  which will be needed.

(a) Let  $G$  be a support set for  $U$ . If  $f$  is in  $F$  with

$$\|f\|_G < \delta/2 \text{ then } f \text{ is in } U.$$

To see this, consider the function  $g = (f - \delta/2)v_0$ . Although  $F$  is not a vector lattice,  $g$  is clearly in  $F$ . Since

$\|2g\|_G = 0$ ,  $2g$  is in  $U$ ; since  $\|2(f-g)\|_Z \leq \delta$ ,  $2(f-g)$  is in  $U$ . Thus by the convexity of  $U$ ,  $f$  is in  $U$ .

(b) Let  $G$  be a compact subset of  $Y$ . If for  $h$  in  $F$ ,  $h$  is in  $U$  whenever  $h$  vanishes on a neighborhood of  $G$ , then  $G$  is a support set for  $U$ .

To see this, suppose  $f \in F$  vanishes on such a set  $G$ . The function  $g = (f - \delta/2)v_0$  vanishes on  $f^{-1}(-\delta/2, \delta/2)$ , a neighborhood of  $G$ . Thus  $2g$  is in  $U$ . Since again  $2(f - g)$  is in  $U$  we obtain  $f \in U$ .

(c) The intersection of two support sets for  $U$  is a support set for  $U$ .

To see this, let  $G$  and  $H$  be support sets for  $U$  and let  $f$  in  $F$  vanish on a neighborhood  $W$  of  $G \cap H$ . If  $f$  is bounded there is a  $g$  in  $C(Y) \cap F$  such that  $\|g\|_G = 0$  and  $g(x) > f(x)$  for  $x$  in  $H \setminus W$ . Since  $\|g \wedge f\|_G = 0$ ,  $2(g \wedge f)$  is in  $U$ , and since  $\|(f - g)v_0\|_H = 0$ ,  $2[(f - g)v_0]$  is in  $U$ . Thus by the convexity of  $U$ ,  $f$  is in  $U$ . Now suppose  $f$  is not bounded. Then  $f$  is the limit in  $K_{T_0}$  of the bounded functions  $\{f \wedge n\}$  since this sequence converges to  $f$  in  $C_{co}(Y \setminus \Lambda_f)$ . Each  $f \wedge n$  is in  $U$  and  $U$  is closed, so that  $f$  is in  $U$ .

(d) The intersection  $S$  of all support sets for  $U$  is a support set for  $U$ .

To see this, let  $f$  in  $F$  vanish on a neighborhood  $W$  of  $S$ . Since  $Y \setminus W$  is compact it is covered by the complements of finitely many support sets for  $U$ . Thus  $f$  vanishes on the intersection of these finitely many support sets and is in  $U$  by (c).

We prove that the intersection  $S$  of all support sets for  $U$  is contained in  $Z$ . Let  $p$  be in  $Y \setminus Z$ . Then  $h(p) = +\infty$  for some  $h$  in  $F$ . Since the inclusion map from  $C_{co}(Y \setminus \Lambda_h)$  into  $K_{T_0}$  is continuous, there is a compact subset  $D$  of  $Y \setminus \Lambda_h$  such that  $U$  contains  $\{g \in C(Y \setminus \Lambda_h) : \|g\|_D < \varepsilon\}$  for some  $\varepsilon > 0$ . Thus if  $g$  is in  $C(Y \setminus \Lambda_h) \cap F$  and vanishes on  $D$ , then  $g$  is in  $U$ . For any  $f$  in  $F$  which vanishes on  $D$ , since  $f \wedge n$  is in  $C(Y \setminus \Lambda_h)$  we have  $f \wedge n \in U$ . It follows from the fact that  $U$  is closed that  $f$  is in  $U$ . Thus  $D$  is a support set for  $U$  not containing  $p$ . We conclude that  $S$  is contained in  $Z$ . By (a)  $U \supseteq \{f \in F : \|f\|_S < \delta/2\}$ .

Let  $g$  be any member of  $K$  having  $\|g\|_S < \delta/2$ . Then  $g$  is in  $C(Y \setminus \Lambda_h)$  for some  $h$  in  $F$ . By Lemma 1 we can assume  $h \geq |g|$ . There is a number  $N$  large enough so that the closed set  $h^{-1}[N, \infty)$  in  $Y \setminus \Lambda_h$  is disjoint from  $S$ . Since  $Y \setminus \Lambda_h$  is normal there is a function  $k$  in  $C(Y \setminus \Lambda_h)$  which equals  $|g|$  on  $S$  and  $h$  on  $h^{-1}[N, \infty)$ . Letting  $f$  be  $kv|g|$  on  $Y \setminus \Lambda_h$  and  $\infty$  on  $\Lambda_h$ , we conclude that  $f$  is in  $F$  since  $f \geq h$  on  $h^{-1}[N, \infty)$ . Since  $f = |g|$  on  $S$ ,  $f$  is in  $U$ . Since  $f \geq |g|$  and  $U$  is solid,  $g$  is in  $U$ . Thus,

$$U \supseteq \{g \in K: \|g\|_S < \delta/2\},$$

a neighborhood of zero in the topology of compact convergence on  $Z$ . This completes the proof.

### 3. THE ORDER TOPOLOGY FOR A 2-UNIVERSALLY COMPLETE LATTICE

We recall that an element  $e$  in a vector lattice  $V$  is said to be a weak order unit if for each  $v$  in  $V$

$$v = \bigvee \{v \wedge ne: n = 1, 2, 3, \dots\}.$$

We will assume that  $V$  is a vector lattice having a weak order unit  $e$  and that the real lattice homomorphisms on  $V$  separate the points of  $V$ . We let  $X$  be the set of lattice homomorphisms  $x$  on  $V$  such that  $x(e) = 1$  with the topology of pointwise convergence. We map  $V$  into  $C(X)$  by the usual Gelfand map  $\hat{v}(x) = x(v)$  for all  $x$  in  $X$ . We will refer to  $X$  as the carrier space of  $V$ . The proof of the following proposition uses the techniques of Lemma 2 in [3].

**PROPOSITION 2.** The Gelfand mapping of  $V$  into  $C(X)$  is injective.

**PROOF.** Suppose  $\hat{v} = 0$  for  $v$  in  $V$ ; thus,  $x(v) = 0$  for all  $x$  in  $X$ . For each lattice homomorphism  $\phi$  on  $V$  either  $\phi(e) = 0$  or  $\phi/\phi(e)$  is in  $X$ , so that

$$\phi(v \wedge ne) = \phi(v) \wedge n\phi(e) = 0.$$

Since the lattice homomorphisms separate  $X$ ,  $v \wedge ne = 0$  for all  $n$ . Thus

$$v = \bigvee \{v \wedge ne: n = 1, 2, \dots\} = 0.$$

We will henceforth identify  $V$  with its image in  $C(X)$  and refer to it as a function lattice with 1 (the image of  $e$ ). We also will not distinguish between functions in  $C(X)$  and their extensions to functions from the

Stone-Čech compactification  $\beta X$  of  $X$  to the extended real numbers.

We will have need for an additional condition. In a function lattice  $V$  with 1 we will say that a collection  $\{v_n\}_{n=1}^{\infty}$  is 2-disjoint if

1. For each  $n$ ,  $|v_n| \wedge |v_k| \neq 0$  for at most two indices  $k$  distinct from  $n$ , and
2. for each  $x$  in the carrier space  $X$  of  $V$  there is a  $v_n$  such that  $v_n(x) \neq 0$ .

We will say that  $V$  is 2-universally complete if each 2-disjoint collection has a supremum in  $V$ .

For what follows we recall that a countably universally complete lattice is one in which the supremum exists for each collection  $\{v_n\}_{n=1}^{\infty}$  satisfying  $|v_n| \wedge |v_j| = 0$  for  $n \neq j$ .

PROPOSITION 3. (a) The lattice  $C(Y)$  for any completely regular space  $Y$  is 2-universally complete. (b) Each countably universally complete function lattice with 1 is 2-universally complete.

PROOF. For (a), since the carrier space of  $C(Y)$  is the realcompactification of  $Y$  we may as well assume that  $Y$  is realcompact. Given a 2-disjoint collection  $\{f_n\}$  in  $C(Y)$ , at any point  $y$  in  $Y$  there is a function  $f_n$  with  $|f_n(x)| > 0$  for all  $x$  in a neighborhood  $N$  of  $y$ . The pointwise supremum of the collection  $\{f_n\}$  on  $N$  thus involves at most three functions; one concludes that the pointwise supremum of  $\{f_n\}$  is continuous, and thus the supremum of  $\{f_n\}$  in  $C(Y)$ .

The proof of (b) follows from the observation that a 2-disjoint collection can be decomposed into three collections, each having a supremum by countable universal completeness.

We note that  $C(\mathbb{R})$  ( $\mathbb{R}$  the reals) is 2-universally complete but not countably universally complete: Letting  $f_n$  be a continuous function which vanishes off  $[\frac{1}{n+1}, \frac{1}{n}]$  and has value 1 at some point  $x_n$ , we obtain a collection  $\{f_n\}_{n=1}^{\infty}$  satisfying  $|f_n| \wedge |f_j| = 0$  for  $n \neq j$  whose supremum  $f$  would clearly vanish on  $(-\infty, 0)$  and yet  $f(0) = \lim_{n \rightarrow \infty} f(x_n) \geq f_n(x_n) = 1$ .

For a function lattice  $V$  with  $1$ , we let  $\tilde{\beta}X$  denote the quotient space of the Stone-Čech compactification  $\beta X$  of the carrier space  $X$  which is induced by the equivalence relation  $p \sim q$  if  $v(p) = v(q)$  for all  $v$  in  $V$ . The space  $\tilde{\beta}X$  is compact and (since  $V$  separates  $\tilde{\beta}X$ ) Hausdorff. Note that  $X$  is real-compact in  $\tilde{\beta}X$ . Since  $V^+$  is contained in  $F(X, \tilde{\beta}X)$ ,  $V$  is a sublattice of  $K(X, \tilde{\beta}X)$ . Of course, if  $V$  separates  $\beta X$  then  $K(X, \tilde{\beta}X) = K(X, \beta X) = C(X)$ .

We will provide an example of a 2-universally complete function lattice  $\mathcal{L}$  with  $1$  which is not uniformly dense in any function space  $C(S)$ . For other such examples see [7]. Furthermore, for the carrier space  $X$  in this example,  $\tilde{\beta}X \neq \beta X$  and  $K(X, \tilde{\beta}X) = \mathcal{L}$ . For this purpose we will need the following lemma.

We recall that a  $\phi$ -algebra is an archimedean lattice-ordered algebra over the reals with identity  $1$  which is a weak order unit.

LEMMA 2. Let  $V$  be a  $\phi$ -algebra. Then every lattice homomorphism on  $V$  is an algebra homomorphism.

PROOF. Let  $\theta$  be a lattice homomorphism on  $V$ . Then  $\theta$  is a lattice homomorphism on the order ideal  $I(1)$  generated by  $1$ , hence an extremal element in the continuous dual  $I(1)'$  of  $I(1)$  in the order unit topology. For  $g$  in  $I(1)$ ,  $0 \leq g \leq 1$ , we define  $\theta_g(f) = \theta(fg)$ . Since for  $f \geq 0$  in  $I(1)$  we have  $\theta_g(f) \leq \theta(fg) \leq \theta(f)$ , it follows that  $0 \leq \theta_g \leq \theta$ . Thus  $\theta_g = \lambda_g \theta$  for a scalar  $\lambda_g$  which can be easily evaluated to be  $\theta(g)$ , so that  $\theta(fg) = \theta(f)\theta(g)$ . This argument can be extended by standard means to show that  $\theta$  is an algebra homomorphism on  $I(1)$ . We next consider nonnegative elements  $g$  in  $I(1)$  and  $f$  in  $V$ . To facilitate computations if  $\theta(g) = 0$ , we let

$g^* = g + 1$ . Then

$$\begin{aligned}\theta(fg^*) &= \bigvee_n [\theta(fg^*) \wedge n\theta(g^*)] \\ &= \bigvee_n [\theta(f \wedge n1)g^*] \\ &= \bigvee_n [\theta(f \wedge n1)\theta(g^*)] \\ &= [\bigvee_n (\theta(f) \wedge n)]\theta(g^*) = \theta(f)\theta(g^*),\end{aligned}$$

the third step being valid because  $f \wedge n1$  and  $g^*$  are in  $I(1)$ . Thus  $\theta(fg) = \theta(f)\theta(g)$ . The argument can now be repeated without the restriction

that  $g$  is in  $I(1)$ . The standard extension establishes that  $\theta$  is an algebra homomorphism on  $V$ .

EXAMPLE 1. The  $\phi$ -algebra  $\mathcal{L}$  of all real-valued measurable functions on  $[0,1]$  is countably universally complete (hence, 2-universally complete) and is complete in the uniform topology. It is known (see [7]) that  $\mathcal{L}$  is not isomorphic as a  $\phi$ -algebra to any function space  $C(S)$ . It follows from Lemma 2 that  $\mathcal{L}$  is not isomorphic as a lattice to any  $C(S)$ . Thus  $\mathcal{L}$  is not a uniformly dense sublattice of any  $C(S)$ . Furthermore, if  $\mathcal{L}$  separated the points in  $\beta X$  then the space of bounded functions in  $\mathcal{L}$  would be  $C(\beta X)$  by the Stone-Weierstrass theorem. But since  $\mathcal{L}$  as a  $\phi$ -algebra is closed under inversion, this would imply  $\mathcal{L} = C(X)$ . Thus  $\beta X \neq \beta X$ . To show  $\mathcal{L} = K(X, \widetilde{\beta X})$ , consider  $f$  in  $K(X, \widetilde{\beta X})$ . Then  $f$  is in  $C(\widetilde{\beta X} \setminus \Lambda_h)$  for some  $h$  in  $F(X, \widetilde{\beta X})$ . Since  $\widetilde{\beta X} \setminus \Lambda_h$  is  $\sigma$ -compact, the topology of  $C_{co}(\widetilde{\beta X} \setminus \Lambda_h)$  is metrizable. By the Stone-Weierstrass theorem there exists a sequence of functions in  $\mathcal{L}$  convergent to  $f$  in  $C_{co}(\widetilde{\beta X} \setminus \Lambda_h)$ . Thus  $f$  on  $[0,1]$  is a pointwise limit of a sequence of measurable functions, and so in  $\mathcal{L}$ . We remark that  $X$  here is  $[0,1]$  in the discrete topology and  $K(X, \widetilde{\beta X})$  consists of just those continuous extended real-valued functions on  $\widetilde{\beta X}$  which are finite on a dense subset.

LEMMA 3. Let  $V$  be 2-universally complete. For each function  $g$  in  $K(X, \widetilde{\beta X})$  there is a function  $f$  in  $V$  such that  $g \leq f$ .

PROOF. We begin by showing that for compact sets  $K_1$  and  $K_2$  in  $\beta X$  there is a function  $v$  in  $V$  which is zero on  $K_1$ , one on  $K_2$  and satisfies  $0 \leq v \leq 1$ . Given  $p$  in  $K_1$  and  $x$  in  $K_2$ , since  $V$  is a vector space and separates  $\beta X$  there is a function  $v_x$  in  $V$  such that

$$0 \leq v_x(p) < 1 < v_x(x).$$

Clearly,  $0 \leq v_x(q) < 1 < v_x(y)$

for all points  $q$  in some neighborhood  $U_x$  of  $p$  and all points  $y$  in some neighborhood  $N_x$  of  $x$ . Let  $v_p$  be the supremum of functions  $v_x$  corresponding to a finite subcover  $\{N_x\}$  of  $K_2$ . Then

$$0 \leq v_p(q) < 1 < v_p(y)$$

for all  $y$  in  $K_2$  and  $q$  in a neighborhood of  $w_p$  of  $p$ . Letting  $w$  be the infimum of functions  $v_p$  corresponding to a finite subcover  $\{w_p\}$  of  $K_1$  we obtain

$$0 \leq w(q) < 1 < \alpha < w(y)$$

for all  $q$  in  $K_1$ ,  $y$  in  $K_2$  and some real number  $\alpha$ . The function

$$v = \left\{ \frac{1}{\alpha-1} [(w-1)v_0] \right\}^{\frac{1}{\alpha-1}}$$

has the desired properties. Now let  $g$  be a function in  $K(X, \widetilde{\beta X})$ . By Lemma 1 there is a function  $h$  in  $F(X, \widetilde{\beta X})$  such that  $h \geq g$ . Consider the compact subsets

$$A_n = h^{-1}[2n-2, 2n]$$

and  $B_n = h^{-1}[0, 2n-3] \cup h^{-1}[2n+1, \infty]$

of  $\beta X$  ( $n = 1, 2, \dots$ ) with the understanding that  $B_1 = h^{-1}[3, \infty]$ . It follows from the first part of this proof that there is a function  $v_n$  in  $V$  with  $0 \leq v_n \leq 2n$  which has value  $2n$  on  $A_n$  and is zero on  $B_n$ . Since  $\{v_n\}$  is a 2-disjoint collection, its supremum is a function in  $V$  greater than or equal to  $h$  (and hence  $g$ ).

Given  $u$  in  $V^+$ , we consider the ideal

$$[u]^V = \{v \in V: |v| \leq \lambda u \text{ for some } \lambda \text{ in } \mathbb{R}\}$$

and we set, for each  $v$  in  $[u]$ ,

$$||v||_u = \inf\{\lambda > 0: |v| \leq \lambda u\}.$$

It is easy to verify that the normed spaces

$$\{([u]^V, || \cdot ||_u): u \in V^+\}$$

form an inductive system ordered by inclusion, whose locally convex inductive limit is  $V_{T_0}$  (see, e.g., [13, p.122]).

**PROPOSITION 4.** Let  $B$  be a 2-universally complete function lattice with 1 and having carrier space  $X$ . Then

$$V_{T_0} = V \cap K_{T_0}(X, \widetilde{\beta X}).$$

**PROOF.** We recall that  $V_{T_0}$  is the locally convex inductive limit of the factors  $\{([u]^V, || \cdot ||_u): u \in V^+\}$ , where  $[u]^V$  is the ideal in  $V$  generated by  $u$ . It follows from Lemma 3 that  $K_{T_0}(X, \widetilde{\beta X})$  is the locally convex inductive limit of the factors  $\{([u]^K, || \cdot ||_u): u \in V^+\}$ , where  $[u]^K$  is the

ideal in  $K(X, \beta X)$  generated by  $u$ . It is easy to verify that the topology of  $V_{T_0}$  is finer than that of  $V \cap K_{T_0}(X, \beta X)$ . For the converse, let  $U$  be a solid neighborhood of zero in  $V_{T_0}$ . Then for some collection  $\{\alpha_u: u \in V\}$  of positive scalars,  $U$  contains the convex hull of  $\bigcup \{\alpha_u [-u, u]^V: u \in V\}$ , where  $[-u, u]^V$  denotes an order interval in  $V$ . Denoting by  $[-u, u]^K$  the order interval in  $K(X, \beta X)$ , we let  $v$  be in the intersection with  $V$  of the convex hull of  $\bigcup \{\alpha_u [-u, u]^K: u \in V\}$ . Since  $v = \sum_{i=1}^n \lambda_i f_i$  for scalars  $\lambda_i$  satisfying  $\sum_{i=1}^n |\lambda_i| \leq 1$  and  $f_i$  in  $\alpha_{u_i} [-u_i, u_i]^K$ , then

$$|v| \leq \sum_{i=1}^n |\lambda_i| \cdot |\alpha_{u_i} u_i| \in U.$$

By the solidness of  $U$ ,  $v$  is in  $U$ .

The next theorem is a consequence of Proposition 4 and Theorem 1.

THEOREM 2. Let  $V$  be a 2-universally complete function lattice with 1.

The order topology  $T_0$  on  $V$  is the topology of compact convergence on the carrier space of  $V$ .

#### 4. CONVERGENCE STRUCTURES RELATED TO UNIFORM CONVERGENCE

In this section we will be using the ideas of convergence space theory (see, e.g., [1]). We will consider convergence structures on  $K(X, Y)$ , where  $Y$  is compact and Hausdorff and  $X$  is realcompact in  $Y$ . We let  $K_\sigma(X, Y)$  denote the convergence space inductive limit of the system

$$\{C_{co}(Y \setminus \Lambda_f): f \in F(X, Y)\},$$

together with the continuous inclusion maps. Thus a net  $\{g_\alpha\}$  converges to  $g$  in  $K_\sigma(X, Y)$  if and only if  $g_\alpha$  is in a factor  $C(Y \setminus \Lambda_f)$  for all  $\alpha$  beyond some  $\alpha_0$  and  $\{g_\alpha\}_{\alpha \geq \alpha_0}$  converges to  $g$  in  $C_{co}(Y \setminus \Lambda_f)$ ; equivalently, a filter  $\theta$  converges to  $g$  in  $K_\sigma(X, Y)$  if and only if  $\theta$  contains the neighborhood filter at  $g$  in some factor  $C_{co}(Y \setminus \Lambda_f)$ . We note that for  $X$  realcompact,  $K_\sigma(X, \beta X)$  is the convergence space  $C_{I^*}(X)$  studied in [2].

A set  $A$  in a vector lattice  $W$  is bounded if there is an element  $w$  in  $W$  such that  $|a| \leq w$  for all  $a$  in  $A$ . A filter  $\theta$  is bounded if some set  $A \in \theta$  is bounded. It is easy to verify that if  $W_\delta$  is a convergence vector lattice then the space  $W_{\delta b}$  containing only the bounded filters from  $W_\delta$  is

also a convergence vector lattice. Thus  $K_{\sigma b}(X, Y)$  is a convergence vector lattice. (A net  $\{f_\alpha\}$  converges to a function  $f$  in  $K_{\sigma b}(X, Y)$  if and only if it converges in  $K_\sigma(X, Y)$  and is bounded - i.e., there exists a function  $g$  in  $K(X, Y)$  and an index  $\alpha_0$  such that  $|f_\alpha| \leq g$  for all  $\alpha \geq \alpha_0$ .)

We note that relative uniform convergence on a vector lattice is a convergence vector lattice structure.

**THEOREM 3.** Let  $V$  be a function lattice with 1. If  $V$  is 2-universally complete, the identity map from  $V_\rho$  onto its image in  $K_{\sigma b}(X, \widetilde{\beta X})$  is bicontinuous.

**PROOF.** Let net  $\{v_\alpha\}$  converge to zero in  $V_\rho$ : For some  $u$  in  $V$  and  $n = 1, 2, \dots$ ,

$$|v_\alpha| \leq \frac{1}{n} u \text{ for } \alpha \geq \alpha_n.$$

Thus  $\{v_\alpha\}$  converges to zero in  $C_p(\widetilde{\beta X} \setminus \Lambda_u)$ , where  $X$  is the carrier space of  $V$ . It follows that  $\{v_\alpha\}$  converges to zero in  $C_{co}(\widetilde{\beta X} \setminus \Lambda_u)$  and hence in  $K_{\sigma b}(X, \widetilde{\beta X})$ . Conversely, let net  $\{v_\alpha\}$  in  $V$  converge to zero in  $K_{\sigma b}(X, \widetilde{\beta X})$ .

For some  $g$  in  $K(X, \widetilde{\beta X})$  and  $\alpha_0$ ,

$$|v_\alpha| \leq g \text{ for } \alpha \geq \alpha_0.$$

By Lemma 3 we can assume that  $g$  is in  $V$ ; we can also assume  $g \geq 1$  and  $\{v_\alpha\}$  converges to zero in  $C_{co}(\widetilde{\beta X} \setminus \Lambda_g)$ . Thus, given  $n$ , there is an  $\alpha_n$  such that for  $x$  in  $g^{-1}[1, n]$

$$|v_\alpha(x)| \leq \frac{1}{n} \leq \frac{1}{n} g^2(x) \text{ for } \alpha \geq \alpha_n.$$

For  $x$  not in  $g^{-1}[1, n]$ , since  $g^2(x) > n g(x)$ ,

$$|v_\alpha(x)| \leq g(x) < \frac{1}{n} g^2(x) \text{ for } \alpha \geq \alpha_0.$$

By Lemma 3 there is a  $w$  in  $V$  such that  $w \geq g^2$ . Thus for  $\alpha$  beyond  $\alpha_0$

and  $\alpha_n$ ,

$$|v_\alpha| \leq \frac{1}{n} g^2 \leq \frac{1}{n} w.$$

We conclude that  $\{v_\alpha\}$  converges to zero in  $V_\rho$ .

**COROLLARY 1.** For realcompact  $X$ ,

$$C_p(X) = K_{\sigma b}(X, \beta X) = C_{l'b}(X).$$

We recall that a set  $A$  in a convergence vector lattice  $W_\delta$  is dense in  $W$  if every element of  $W$  is the limit in  $\delta$  of a net in  $A$ . The space  $W_\delta$  is complete if every Cauchy net (filter) converges. If  $W_\delta$  is complete, it

follows readily that  $W_{\sigma b}$  is complete.

THEOREM 4. Let  $V$  be a 2-universally complete function lattice with 1 having carrier space  $X$ . Then  $K_{\sigma b}(X, \widetilde{\beta X})$  is complete and contains  $V$  as a dense subspace. Moreover,  $V_p$  is complete if and only if it equals  $K_{\sigma b}(X, \widetilde{\beta X})$ .

PROOF. The space  $K_{\sigma}(X, \widetilde{\beta X})$ , being an inductive limit of complete factors  $C_{co}(\widetilde{\beta X} \setminus \Lambda_f)$ , is easily seen to be complete; thus  $K_{\sigma b}(X, \widetilde{\beta X})$  is complete. Given  $f$  in  $K(X, \widetilde{\beta X})$ ,  $f$  is in  $C(\widetilde{\beta X} \setminus \Lambda_g)$  for some  $g$  in  $F(X, \widetilde{\beta X})$ . Since  $V \cap C(\widetilde{\beta X} \setminus \Lambda_g)$  is a sublattice of  $C(\widetilde{\beta X} \setminus \Lambda_g)$  containing the constant functions and separating the points of  $\widetilde{\beta X} \setminus \Lambda_g$ , there is a net  $\{v_\alpha\}$  in  $V$  converging to  $f$  in  $C_{co}(\widetilde{\beta X} \setminus \Lambda_g)$  by the Stone-Weierstrass Theorem. By Lemma 3 there is a  $w$  in  $V$  with  $w \geq |f|$ ;  $\{(v_\alpha \wedge w) \vee (-w)\}$  converges to  $f$  in  $K_{\sigma b}(X, \widetilde{\beta X})$ . The last statement of the theorem is now a consequence of Theorem 3.

It follows from Theorem 4 that if a 2-universally complete function lattice  $V$  with 1 separates  $\beta X$  and if  $V_p$  is complete, then  $V = C(X)$ . If, moreover,  $X$  is  $\sigma$ -compact and locally compact then  $K_{\sigma}(X, \beta X) = C_{co}(X)$  since  $\beta X \setminus X = \Lambda_f$  for some  $f$  in  $F(X, \beta X) = C(X)$ , implying  $V_p = C_{co}(X)$ .

COROLLARY 2. The space  $\mathcal{L}_p$  of all real-valued measurable functions on  $[0,1]$  with the relative uniform convergence structure is complete.

PROOF. It was shown in Example 1 that  $\mathcal{L} = K(X, \widetilde{\beta X})$ .

We cite two examples to show that "relatively uniformly complete" and "2-universally complete" are independent concepts.

EXAMPLE 2. Let  $V$  be the space of continuous functions  $f$  on the real line such that the restriction of  $f$  to any compact set consists of finitely many line segments. Clearly,  $V$  is a function lattice containing 1. To see that  $V$  is 2-universally complete, let  $G$  be a 2-disjoint collection of functions in  $V$  and let  $K$  be a compact subset of  $\mathbb{R}$ . For each  $y$  in  $K$  there is a function  $f_y$  in  $G$  such that  $|f_y|(y) > 0$ , since  $y$  is in the carrier space  $X$  of  $V$ . Thus,  $|f_y|(z) > 0$  for all  $z$  in some neighborhood of  $y$ . Since finitely many such neighborhoods cover  $K$  there are finitely many functions  $|f_y|$  whose supremum  $f$  is positive on  $K$ . It follows that  $|g|^{\wedge} f \neq 0$  for at most

finitely many  $g$  in  $G$ ; i.e.,  $g(K) = 0$  for all but finitely many  $g$  in  $G$ . Thus, since on any compact set the pointwise supremum of  $G$  is a supremum of finitely many functions,  $G$  has a supremum in  $V$ . However, any continuous function which vanishes outside the interval  $(0,1)$  is a relative uniform limit of functions in  $V$ ; thus  $V$  is not relatively uniformly complete.

EXAMPLE 3. We will call a function on the reals  $\mathbb{R}$  "ultimately a polynomial" if it is continuous and is equal to a polynomial on the complement of some interval  $[-n, n]$  and we let  $V$  be the solid hull in  $C(\mathbb{R})$  of the set of functions which are ultimately polynomials. We will argue that the carrier space  $X$  of  $V$  is  $\mathbb{R}$ . Where  $C^0(\mathbb{R})$  is the space of bounded continuous functions on  $\mathbb{R}$ ,

$$C^0(\mathbb{R}) \subseteq V \subseteq C(\mathbb{R})$$

Since  $V$  separates  $\mathbb{R}$  and  $V \cap C^0(\mathbb{R})$  separates  $X$ , we can assume (examining the adjoint maps)

$$\beta\mathbb{R} \supseteq X \supseteq \mathbb{R}.$$

Letting  $f$  denote the extended real-valued function on  $\beta\mathbb{R}$  whose restriction to  $\mathbb{R}$  is  $f(x) = x$ , we will prove that  $f$  is infinite on  $\beta\mathbb{R} \setminus \mathbb{R}$ . If so, then  $X = \mathbb{R}$  since  $f$  must be finite on  $X$ . Let  $p$  be a point in  $\beta\mathbb{R} \setminus \mathbb{R}$  and  $\{r_\alpha\}$  a net in  $\mathbb{R}$  convergent to  $p$ . Then  $\{f(r_\alpha)\}$  converges to  $f(p)$ . If  $f(p)$  were real, we would conclude that  $f(p) = p$  by uniqueness of the limit of  $\{r_\alpha\}$  in  $\beta\mathbb{R}$ , a contradiction. We can now show that  $V$  is not 2-universally complete. Let  $\{f_n\}_{n=1}^\infty$  be a collection of continuous functions on  $\mathbb{R}$  chosen so that  $f_n(x)$  is zero outside the interval  $(2n-3, 2n+1)$  and equal to  $e^x$  on the interval  $[2n-2, 2n]$ . Clearly,  $\{f_n\}$  is a 2-disjoint collection in  $V$  with no supremum in  $V$ . On the other hand, if  $\{v_\alpha\}$  is a relatively uniformly Cauchy net in  $V$ , there is a strictly positive function  $w$  in  $V$  such that for  $\alpha, \beta \geq \gamma_n$  ( $n=1, 2, \dots$ )

$$|v_\alpha - v_\beta| \leq \frac{1}{n} w.$$

Clearly  $\{v_\alpha\}$  is bounded in  $V$ , and since  $w$  is bounded on each compact set,  $\{v_\alpha\}$  is Cauchy in  $C_{co}(\mathbb{R})$ . Thus  $\{v_\alpha\}$  converges in  $C_{co}(\mathbb{R})$  to some function  $f$  in  $C(\mathbb{R})$ . It follows that for all  $\alpha \geq \gamma_n$

$$|v_\alpha - f| \leq \frac{1}{n} w.$$

Thus  $|f|$  is bounded by the function  $|v_{Y_1}| + w$  (which is in  $V$ ) so that  $f$  is in  $V$ , and  $\{v_\alpha\}$  converges relatively uniformly to  $f$  in  $V$ . Hence,  $V$  is relatively uniformly complete.

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